

PRAKAS

On

THE IMPLEMENTATION OF THE OPERATING RULES OF SECURITIES MAREKT OF THE CAMBODIA SECURITIES EXCHANGE

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**Senior Minister, Minister of Economy and Finance,
and the Chairman of the Securities and Exchange Commission of Cambodia**

- Having seen the constitution of the Kingdom of Cambodia;
- Having seen the Preah Reach Kret No. NS/RKT/0913/903 on 24 September 2013 on the Appointment of the Royal Government of the Kingdom of Cambodia;
- Having seen the Preah Reach Kret No. NS/RKT/1213/1393 on 21 December 2013 on the Adjustment and Fulfillment of Member of the Royal Government of Kingdom of Cambodia;
- Having seen the Preah Reach Kret No. NS/RKT/0416/368 on 04 April 2016 on the Adjustment and Fulfillment of Member of the Royal Government of Kingdom of Cambodia;
- Having seen the Preah Reach Kram No. 02/NS/94 on 20 July 1994 promulgating the Law on the Organization and Functioning of the Council of Ministers;
- Having seen the Preah Reach Kram No. NS/RKM/0196/18 on 24 January 1996 promulgating the Law on the Establishment of the Ministry of Economy and Finance;
- Having seen the Preah Reach Kram No. NS/RKM/0107/001 on 10 January 2007 promulgating the Law on Government Securities;
- Having seen the Preah Reach Kram No. NS/RKM/1007/028 on 19 October 2007 promulgating the Law on the Issuance and Trading of Non-Government Securities;
- Having seen the Anukret No. 97/ANKR/BK on 23 July 2008 on the Conduct and Organization of the Securities and Exchange Commission of Cambodia;
- Having seen the Anukret No. 54/ANKR/BK on 8 April 2009 on the Implementation of the Law on the Issuance and Trading of Non-Government Securities;
- Having seen the Prakas No. 011 SECC PrK on 01 December 2009 on the Grant of Approval to the Operator of a Securities Market, the Operator of a Securities Clearing and Settlement Facility, and the Operator of a Securities Depository;

- Having seen the Prakas No. 004/SECC/PrK on 18 March 2010 on the Prime Principle of the Operating Rules of a Securities Market, a Securities Clearance and Settlement Facility, and a Securities Depository;
- Having seen the Prakas No. 006/11 SECC/PrK on 03 May 2011 on the Implementation of the Market Operating Rules of the Cambodia Securities Exchange;
- In the reference to the request for amendment on the Prakas No. 006/11 SECC/PrK on 03 May 2011 on the Implementation of the Market Operating Rules of the Cambodia Securities Exchange dated on 05 January 2017;
- In reference to the approval made by the Securities and Exchange Commission of Cambodia during the plenary session on 05 December 2017;

HEREBY DECIDES

Article 1.

To set forth the implementation of the Operating Rules of Securities Market of the Cambodia Securities Exchange (CSX) as enclosed in the annex of this Prakas.

Article 2.

Prakas No. 006/11 SECC/PrK on 03 May 2011 on the Implementation of the Market Operating Rules of the Cambodia Securities Exchange, Prakas No. 001/13 SECC/PrK on 08 February 2013 on the Implementation of the Amendment on the Market Operating Rules of the Cambodia Securities Exchange, Prakas No. 005/14 SECC/PrK on 24 November 2014 on the Implementation of the Amendment on the Market Operating Rules of the Cambodia Securities Exchange and the Article 1 of the Prakas No. 003/17 SECC/PrK on 09 January 2017 on the Implementation of the Amendment on the Market Operating Rules of the Cambodia Securities Exchange is considered to be abrogated.

Article 3.-

Director General of the Securities and Exchange Commission of Cambodia, the Department of Securities Market Supervision, the Department of Legal Affairs, all other departments and unit of the SECC, the Cambodia Securities Exchange, members and participants of the Cambodia Securities Exchange, and relevant persons shall effectively implement this Prakas from this signing date onwards.

Phnom Penh, 26 December 2017

**Senior Minister, Minister of Economy and Finance,
and the Chairman of the Securities and Exchange Commission of Cambodia**

(Signature and Seal)

H.E. Dr. Aun Pornmoniroth

Recipients:

- Council of Ministers
- Ministry of Economic and Finance
- Ministry of Commerce
- Ministry of Justice
- National Bank Of Cambodia
- Secretariat of Royal Government
- Cabinet of Samdech Akka Moha Sena Padei Techo Hun Sen
Prime Minister of the Kingdom of Cambodia
- Cabinet of His/Her Excellency Deputy Prime Ministers
“For inform”
- As prescribed in Article 3
“For implementation”
- Royal Gazette
- Archives-records